

PRIVACY STATEMENT

NESSMARK CC T/AS MW WEALTH

The privacy of your personal information has always been of utmost importance to us at MW WEALTH. In terms of FAIS we are required to comply with existing laws that govern confidential information that you provide to us, we are also required to comply with the new Protection of Personal Information Policy Act (POPI) that has come into effect. We will always seek to comply with POPI as well as other applicable laws affecting your personal information.

Nessmark CC t/as MW WEALTH relies on collecting personal information from clients (data subjects) to assist in providing suitable investment advice planning for the implementation of the services we offer.

This privacy notice sets out how MW Wealth ensures that the personal information collected is used appropriately, lawfully and transparently. It also sets out generally what sort of personal information we collect, for what purpose and how we collect, hold, use and disclose that information.

COLLECTION OF YOUR PERSONAL INFORMATION

The collection of your personal information will be done partly, by our administrative staff and partly by the financial advisor. Generally, the information will be collected when you meet with the advisor for your initial appointment and then from time to time as required to update our data base and comply with the laws, such as FICA. We may need to collect personal information from third parties such as your accountant and investment companies. Information is collected via email, forms, telephone and appointments. We do not use Cookies on our website to collect information.

To enable your financial adviser to provide you with the financial service you request, that is suitable for your investment objectives, financial situation and particular needs, we need to obtain and hold personal information about you. The personal information collected may include sensitive information.

This includes but not limited to • your name, contact details, date of birth, address details and tax numbers; • employment details and history; • financial details including information about your financial needs and objectives, your current financial circumstances including your assets and liabilities, income, and expenditure • details of your investment preferences and risk tolerance; • family circumstances and • any other information that we consider necessary.

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Authorised Financial Services Provider- FSP10049 - Nessmark CC 99/019727/23 - T/A MW Wealth
Members: MA Williams (MCom HDipTax CFP®), VC Williams (BEcon Hons)

HOW YOUR PERSONAL INFORMATION IS HELD

Your personal information is generally held in client files (paper files) and on computer database. We will seek to ensure that we take reasonable steps to ensure that the personal information that we hold is protected from misuse and loss and from unauthorised access, modification and disclosure. As per FAIS law all information we collect must be retained for 5 years from the date of the termination of our relationship, whereafter it will be destroyed. Should you not take up our services after we have collected information, we will destroy it immediately.

Some of the measures that we have adopted are having secure facilities with access control for the storage of personal information. Our computers are protected by special anti-virus and spyware programs. We also take reasonable steps to destroy or permanently delete personal information that we no longer need for any purpose.

USING AND DISCLOSING OF YOUR PERSONAL INFORMATION

We use Personal Information to carry out our business activities. By providing Personal Information you give permission for its use. Your personal information may be disclosed for purposes related to the provision to you of the financial service you have requested. The types of service providers that may be provided with your personal information are:

- other financial organisations involved in providing financial advice which may be deemed appropriate to place your investment (which may include ongoing services)
- product issuers in connection with, the provision to you of the financial advice you have requested
- organisations that assist in operating a financial planning business such as those that provide administrative, financial, accounting, insurance, research, legal, computer or other business services
- your representatives or service providers such as your accountant, solicitor, tax agent, stock broker or bank
- organisations involved in a business restructure or a transfer of all or part of the assets of our business or the due diligence procedures prior to any such sale or transfer
- government authorities and other organisations when required by law such as FIC and FAIS
- organisations that you have consented to your personal information being disclosed to
- Should you request an offshore investment, it will result in the cross-border transfer of your personal information to enable us to provide the product/service.

We may disclose your personal information to third parties who provide services to us, in which case we will seek to ensure that the personal information is held, used or disclosed consistently with POPI and the intention it was collected for.

ACCESSING YOUR PERSONAL INFORMATION

You can gain access to your personal information that we hold. This is subject to exceptions allowed by law such as where providing you with access would have an unreasonable impact upon the privacy of others. If we deny a request for access, we will provide you with the reasons for this decision. To request access please contact us on info@mwwwealth.co.za or 021- 205 1133.